

# ICI PROJECT SAFEGUARD SYSTEM SAFEGUARD SCREENING ANALYSIS AND RESULTS

Preliminary Screening (Concept Stage)     Secondary Screening (Full Proposal Stage)

## I. PROJECT INFORMATION

### A. Basic Project Profile

Country: Global	GEF Project ID:
Project Title: Inclusive Conservation Initiative (ICI) [Component 1]	
Executing Agencies: Conservation International (CI) and the International Union for the Conservation of Nature (IUCN)	
GEF Focal Area: Biodiversity	
GEF Project Amount: USD\$22,535,780	
GEF Project Manager: Free De Koning (CI) and Jenny Springer (IUCN)	
Safeguard Analysis Performed by: Ian Kissoon (CI) and Linda Klare (IUCN)	
Date of Analysis: November 21, 2020	

### B. Summary of Project Risk Categorization, Safeguards Triggered and Mitigation Plans Required

Project Category:	Category A	Category B	Category C
		X	

*The proposed project has the potential to cause adverse environmental and social impacts on human populations or environmentally or socially important areas. However, these impacts are site-specific; few if any of them are irreversible; and in most*

C. Project Objective:

The overall objective of the ICI is to enhance Indigenous Peoples' and Local Communities' (IPLCs) efforts to steward land, water, and natural resources to deliver global environmental benefits (GEBS).

The specific objective of Component 1 is to provide direct financial support to IPLC-led initiatives in

territories from developments such as infrastructure projects, large scale energy and agricultural projects, roads, and the extractive sectors.

- The lack of land and resource tenure security of the territories held by IPLCs impedes them from directly benefiting from global environmental improvements. Improved tenure security is key to introducing more ses them from

In addition, the CI staff members have a deep understanding of indigenous issues and hold a wide range of relationships with indigenous partners in regions where CI works and within international human rights, environment and climate policy fora. Underscoring CI commitment to promote indigenous rights, two prominent indigenous leaders hold senior roles within this team and lead work directly related to indigenous rights in the conservation context; as well as since 2009, CI has benefited from an Indigenous Advisory Group comprised of five indigenous leaders from Asia, Africa and Latin America.

IUCN has a long history of working with indigenous peoples to promote the recognition of their rights and to support their conservation activities. At the IUCN World Conservation Congress in 2016, the IUCN Members Assembly created a new category of IUCN membership for indigenous peoples' organisations (IPO) to strengthen their participation, voice and role in IUCN, and in conservation more broadly. The Union now has 19 IPOs as Members. In 2018, IPO members produced a strategy to advance indigenous issues, including increasing their participation in IUCN's governance and global policy engagement, and strengthening indigenous institutions.

## II. SAFEGUARDS TRIGGERED BY THE PROJECT

Based on the information provided in the Safeguard Screening Form, the following safeguards were triggered:

Safeguard Triggered	Yes	No	TBD	Justification
ESS 1: Environmental & Social Impact Assessment			X	<i>Component 1 is focused on providing direct financial support to IPLC-led initiatives in priority areas that GEBs through improved large-scale management of IPLC lands, territories, and resources. However, information on the subprojects is not yet available to determine if activities will cause adverse environmental and social impacts.</i>
ESS 2: Protection of Natural Habitats and Biodiversity Conservation			X	<i>Component 1 includes activities in different productive areas that could potentially affect species and areas of high biodiversity identified as threatened at the local and/or global levels and introduce or use potentially invasive, non-indigenous species. However, information on the subprojects is not yet available to determine if activities will cause adverse impacts on natural and/or critical habitats.</i>
ESS 3: Resettlement and Physical and Economic Displacement		X		<i>The project will not engage in the resettlement of people or cause physical and economic displacement.</i>
ESS 4: Indigenous Peoples	X			<i>The project will work in lands or territories traditionally owned, customarily used, or occupied by indigenous peoples. While the impact is expected to be positive, the project must guard against unintentional and negative impacts on IPs.</i>
ESS 5: Resource Efficiency and Pollution Prevention			X	<i>Component 1 includes activities such as agriculture in different productive areas. However, information on the subprojects is not yet available to determine if activities will generate waste, effluents and pollutants, and use pesticides, energy and water.</i>
ESS 6: Cultural Heritage	X			<i>The project plans to work in areas where cultural heritage, both tangible and intangible, exists.</i>
ESS 7: Labor and Working Conditions	X			<i>The EAs have in place the necessary policies, procedures, systems and capabilities that meets the requirements of ESS 7. However, these must be flowed down to sub grantees and contractors, and those carrying out community works.</i>

ESS 8: Community He7( isl.)



III. Labor and Working Conditions  
*The project needs to have measures in place to*

*derived from the project that include gender considerations.*

VII. Stakeholder Engagement Plan (SEF)

*The SEF should describe the overarching stakeholders and engagement process under Component 1, and how the nine individual subprojects of the ICI will develop their SEPs.*

*In addition, the EA is required to monitor and report on the following minimum stakeholder engagement indicators:*

- 1. Number of government agencies, civil society organizations, private sector, indigenous peoples and other stakeholder groups engaged in the project implementation phase;*
- 2. Number persons (sex disaggregated) engaged in project implementation phase; and*
- 3. Number of engagement (e.g. meeting, workshops, consultations) with stakeholders during the project implementation phase*

All plans must be submitted to the IAs for review and approval during the PPG Phase.

V. DISCLOSURE

Following the approval by the IAs, the plans must be disclosed within 30 days of the approval date to the relevant stakeholders. This may require translation of the document or dissemination in a means/manner appropriate to local context.

COVID-19 Guidelines

In response to the COVID-19 pandemic, projects are required to follow the guideline issued by CI-GEF/GCF Project Agency during the project development and implementation phases.